

PART B - FEE(S) TRANSMITTAL

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56633 7590 05/18/2006

FARELLA BRAUN & MARTEL
 RUSS BUILDING
 235 MONTGOMERY STREET
 SAN FRANCISCO, CA 94104

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Deborah Lynch (Depositor's name)

Deborah Lynch (Signature)

8-2-06 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/672,684	09/28/2000	Neal Goldstein	SCHB-3600 (20193)	7405

TITLE OF INVENTION: METHOD OF PROCESSING CUSTOMER TRANSACTIONS

08/07/2006 CNGUYEN1 0000E078 502001 09672684

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APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S)	DATE DUE
nonprovisional	NO	\$1400	\$0	\$1400	08/18/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
GART, MATTHEW S	3625	705-026000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Farella Braun + Martel
 2 (Brian Keating)
 3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Charles Schwab & Co., Inc.

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee
☐ Publication Fee (No small entity discount permitted)
☒ Advance Order - # of Copies 5

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-2001 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

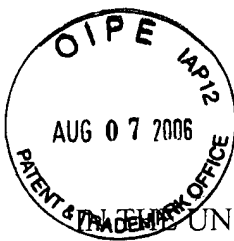
Date 8-2-06

Typed or printed name Brian J. Keating

Registration No. 39,520

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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PATENT

-1-

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Neal Goldstein et al.

Application No.: 09/672,684

Filed: September 28, 2000

For: METHOD OF PROCESSING
CUSTOMER TRANSACTIONS

Confirmation No.: 7405

Group Art Unit: 3625

Examiner: Matthew Gart

SUBMISSION OF ISSUE FEE

Russ Building
235 Montgomery Street, 30th Floor
San Francisco, CA 94104
(415) 954-4497

M/S ISSUE FEE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATE OF TRANSMITTAL

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Date: 8-2-06

By: Deborah Lynch
Deborah Lynch

Sir:

Per the Notice of Allowance and Issue Fee Due which was mailed May 18, 2006, enclosed for filing in the above-captioned case are form PTOL-85b and an authorization to charge deposit account number 50-2001 for the required fees.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-2001, under Order No. SCHB-3600.
A duplicate copy of PTOL-85b is enclosed.

Respectfully submitted,

Farella Braun + Martel LLP

Date: 8-2-06

By: Brian J. Keating
Brian J. Keating
Reg. No. 39,520

Attorneys for Applicant(s)